

01-10-02

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EXPRESS MAIL CERTIFICATE

Date 1/7/02 Label No. EL 767721922-45

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

B.W. LEE B.W. Lee
Name (Print) Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 3191/11388-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Martin Zimmermann; Reinhard Berger; Alfons Wagner

Serial No.: 09/973,966 Art Unit: 3661

Confirmation No.:

Filed: October 8, 2001 Examiner: T.B.A.

For: MOTOR VEHICLE

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 2908, (\$ 2738 filing; \$ 40 recording; \$ 130 late) in the form of:
[X] check
[] deposit account no. 04-0100

☐ credit card (see attached form)
(See attached **Fee Computation Sheet**)

3. ☐ Drawings, sheets (Figs.)
4. ☒ Assignment for recording to: LuK Lamellen und Kupplungsbau Beteiligungs KG
5. ☐ Applicant claims small entity status.
PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.
6. A copy of Notice to File Missing Parts of Application.

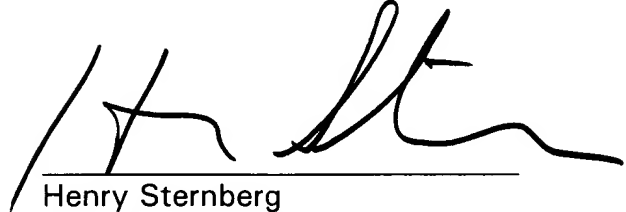
Priority is claimed for this application, corresponding application/s having been filed as follows:

Country:	GERMANY
Number:	198 51 440.9
Date:	9 NOVEMBER 1998

The priority documents ☐ are enclosed
☐ will follow.
☒ were filed in a previous application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'H. Sternberg', written over a horizontal line.

Henry Sternberg
Reg. No. 22,408
Attorney for Applicant(s)

Dated: January 7, 2002

DARBY & DARBY P.C.
805 Third Avenue
New York, NY 10022
212-527-7700

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$740.00
Total Claims	131 - 20	- 0 = 113	x \$18.00	\$1998.00
Independent Claims	2 - 3	- 0 = 0	x \$84.00	\$0.00
Multiple Dependent Claims		- if so, add	\$280.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$130.00
SUBTOTAL				\$2868.00
[] Small Entity REDUCTION (Half of Subtotal)				\$0.00
Fee for recordation of assignment (\$40.00)				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL				\$2908.00

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,966	10/08/2001	Martin Zimmermann	3191/11388-US1

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805 Third Avenue
New York, NY 10022



CONFIRMATION NO. 2407

FORMALITIES LETTER



OC000000007039940

Date Mailed: 11/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1998.
 - \$1998 for 111 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2868.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/15/2002 NROCHA1 00000094 09973966
01 FC:101 740.00 OP
02 FC:103 1998.00 OP
03 FC:105 130.00 OP